

Request for opening transaction account

1. Applicant Information (please write requested data clearly, in block letters):

Applicant:

JIB:

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

Org. structure:

Place and data of establishment:

Industry Code:

--	--	--	--	--	--

Activity:

Actual Activity:

Industry:

 Production Trade Services

Address:

Municipality:

Telephone:

Fax:

Email:

Legal representative:

 Residents:

ID Number (JMBG):

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

 Non-residents:

Passport no:

Address:

City:

States:

2. Account Data:

 Transaction account: KM EUR USD MAIN ACCOUNT ACCOUNT FOR REGULAR OPERATIONS

3. Account Statement Delivery:

 Fax

Fax No:

 Mail E-mail Upon request in the Bank's office By Using PO Box in the Bank

3.1. E-mail address for delivery of account statements (Fill in if you have chosen delivery by e-mail. Enter one or several e-mail addresses):

4. Notifications:

E-mail address for delivery of notifications (Please enter one e-mail address):

4.4.Owner 3:

First and last name: _____ ID Number (JMBG): Passport No. for non residents: _____

Date and place of birth: _____ Residence address: _____ Citizenship: _____

This person is direct / indirect owner (mark answer with a or b): a) Direct b) Indirect

Type of ownership (search for explanation at the end of section 1.1.): A: B: C: D: E: F: Ownership percentage: _____ %

Is the owner politically or publicly exposed person? Yes No

4.5.Owner 4:

First and last name: _____ ID Number (JMBG): Passport No. for non residents: _____

Date and place of birth: _____ Residence address: _____ Citizenship: _____

This person is direct / indirect owner (mark answer with a or b): a) Direct b) Indirect

Type of ownership (search for explanation at the end of section 1.1.): A: B: C: D: E: F: Ownership percentage: _____ %

Is the owner politically or publicly exposed person? Yes No

4.6.Owner 5:

First and last name: _____ ID Number (JMBG): Passport No. for non residents: _____

Date and place of birth: _____ Residence address: _____ Citizenship: _____

This person is direct / indirect owner (mark answer with a or b): a) Direct b) Indirect

Type of ownership (search for explanation at the end of section 1.1.): A: B: C: D: E: F: Ownership percentage: _____ %

Is the owner politically or publicly exposed person? Yes No

4.7.Owner 6:

First and last name: _____ ID Number (JMBG): Passport No. for non residents: _____

Date and place of birth: _____ Residence address: _____ Citizenship: _____

This person is direct / indirect owner (mark answer with a or b): a) Direct b) Indirect

Type of ownership (search for explanation at the end of section 1.1.): A: B: C: D: E: F: Ownership percentage: _____ %

Is the owner politically or publicly exposed person? Yes No

Explanations / Instructions:

- Beneficial owner of the client (filling the item 3)**
 A. beneficial owner of the client and / or individual on whose behalf transaction or activity is performed
- Beneficial owner of the company, or other legal entity is:**
 B. individual who directly or indirectly holds 20% or more of the business share, shares, voting rights or other rights, on the basis of which he/she participates in managing the legal entity, or participates in capital of the legal entity with 20% or more of shares or has a dominant position in the asset management of a legal entity;
 C. individual that indirectly provides funds to legal entity and on that basis has the right to influence significantly the decision-making of corporate governance bodies concerning funding and operations
- Beneficial owner of a foreign legal entity that receives, manages or distributes the property for a specific purpose is:**
 A. individual who directly or indirectly utilizes more than 20% of assets that subject of management, in case that future users are determined;
 B. individual or group of individuals in whose interest the foreign legal entity is established or operates, in case that such individual or group of individuals are identifiable;
 C. individual who directly or indirectly manages more than 20% of assets of foreign legal entity

5. Statement – filled by the competent person – Branch Office Manager

Based on the information and delivered documentation, I state that this is a client of _____ category, and that identification and verification of the client document has been made.

Explanation:

Signature: _____



TO BE ADDITIONALLY FILLED BY AUTHORIZED PERSON OF THE LEGAL ENTITY

6. Politically or publicly exposed person

6.1. Is the director(s) / owner (s) politically or publicly exposed person?

 Yes Explain _____

 No

6.2 Is the authorized person of the company an immediate family member or a person politically/publicly exposed publicly?

 Yes No
Explanations/Instructions:**Fulfilling the item 5**

Politically and publicly exposed person, foreign and domestic, in terms of this law, means any individual entrusted with or has been entrusted with prominent public function in the previous year, including the closest family members and close associates;

1. Foreign politically exposed person is a person who has or was assigned with significant public function:

- head of state, prime minister, ministers, their deputies and assistants;
- elected representatives in legislative bodies;
- judges of the Supreme and Constitutional Court and other high judicial institutions;
- members of the audit and governor committee of the central bank;
- ambassadors and high ranking officers of the armed forces;
- members of management and supervisory boards and directors of companies in majority state ownership.

2. Domestic politically or publicly exposed person is a natural person who has or was assigned with significant public function:

- members of the BiH Presidency, Chairman of the Council of Ministers BiH, ministers and deputy ministers and leading civil servants;
- presidents, deputies, heads of government, ministers and their deputies or assistants in the FBiH, RS, BDBiH and Cantons, city mayors or municipality mayors
- elected representatives in legislative bodies at the state level, RS, FBiH, BDBiH and Cantons;
- members of the parties' presidency
- judges of Constitutional Courts of BiH, FBiH and RS, and judges of Supreme Courts of RS and FBiH, a judge of the Appellate Court of BDBiH, judges of the Court of BiH and members of the High Judicial f) and Prosecutorial Council of BiH;
- Chief Prosecutor and the Prosecutors from the Office of BiH, Chief Prosecutor and Prosecutors from the Offices of RS, FBiH, BDBiH and Cantons;
- members of the Management Board, the Governor and Deputy Governor of the Central Bank;
- diplomatic representatives (ambassadors and consuls);
- members of the Joint Staff of the Armed Forces;
- members of management, supervisory boards and directors of companies in majority ownership of the state, entities or BDBiH

Closest family members of persons referred to in items 1) and 2) are: marital and extramarital partners, parents, siblings, children and their spouses;

Closer associates of entities specified in items 1) and 2) are all persons who participate in the profits from property or a business relationship or are in any way associated with business

Under full material, criminal and moral liability I confirm that information given for opening a transaction account are truthful and that there are no reasons why opening of this account is not in accordance with the legal regulations. With my signature I confirm that I agree with the General Conditions on business dealing with the Bank and that I entirely accept them.

This questionnaire represents a constituent part of the Request for opening an account at Sparkasse Bank dd BiH and it cannot be used for any other purposes but the ones stipulated with the Law. The Bank keeps the right to demand from the client at any moment additional documentation related with the transactions. In case the client does not deliver requested documentation the Bank has right to decline transaction and/or close the accounts. The signatory exclusively states that the funds used for financing the legal entity are not a product of criminal activities i.e. activities prohibited by the Law, including the Law on prevention of money laundering and financing of terrorist activities. The signatory states that the property of the legal entity was never brought in connection with or was used for financing of criminal activities. By signing this questionnaire I give explicit permission to the Bank to undertake all measures related with processing and exchange of data mentioned in this questionnaire, which covers the Bank's right to collect, save, record, organize, make insight and transfer personal data in order to perform regular Bank's activities (and the Group to which it belongs in the country and abroad).

The client is obliged to promptly inform the Bank, in written form, about possible changes of the above mentioned information as well as data related to:

- Losses in amount of ½ of the firm's capital value, decisions on merging, separating, acquiring, disengage, liquidation or bankruptcy
- Material changes in ownership structure of the firm as well as the changes of individual owners with effective control over the firm
- Changes of the persons for representation of the firm and authorized persons that have access to the funds at the account
- Changes in any of requested documents

The applicant legal entity confirms that has no outstanding liabilities of public revenues.

With my signature I certify that the information provided is true, and that the Bank may make check the Central Registry of Accounts in BiH (CRR) and Unique Registry of Accounts in RS (JRR).

Place: _____ Date: _____ Stamp: _____ Applicant Signature: _____

Place: _____ Date: _____ Signature of the Bank's employee: _____