Request for opening transaction account

1. Applicant Information (please write requested data clearly, in block letters):				
Applicant:				
JIB:				
Org. structure:				
Place and data of establishment:				
Industry Code: Activity:				
Actual Activity:				
Industry: Production Trade	Services			
Address:				
Municipality:				
Telephone: Fax:				
Email:				
Legal representative:				
Residents: ID Number (JMBG):	Non-residents: Passport no:			
Address: City:	States:			
2. Account Data:				
Transaction account: KM EUR USD MAIN ACCOUNT	ACCOUNT FOR REGULAR OPERATIONS			
3. Account Statement Delivery:				
Fax Fax No: Mail E-mail	Upon request in the Bank's office By Using PO Box in the Bank			
3.1. E-mail address for delivery of account statements (Fill in if you have chosen delivery by e-mail. Enter one or several e-mail address for delivery by e-mail. Enter one or several e-mail address for delivery by e-mail.	dresses):			
4. Notifications:				
E-mail address for delivery of notifications (Please enter one e-mail address):				



Questionnaire for opening a transaction account

Every client that establishes business relationship with Sparkasse Bank dd Bosna i Hercegovina for the first time is obliged to fill in this questionnaire. (Mark with \checkmark your answer)

1. What influenced my decision to become client of Sparkasse Bank dd BiH:

CLOSENESS OF THE BRANCH KIND PERSONNEL FROM MEDIA FAST AND PROFESSIONAL SERVICE ECOMMENDATION FROM BUSINESS PARTNER OTHERS	-
2. Cash turnover	
2.1. Do you plan to use your account primarily for cash transactions?	
Yes No If yes, specify the reason and amounts	
2.2. What is planned amount of annual turnover through account?	

	Up to 1 million Kivi
	From 1 million KM to 5 million KM
	From 5 million KM to 15 million KM
	Over 15 million KM

3. Information about business partners - foreign and domestic (to be filled in by the legal entity)

Buyers			Suppliers		
Business partner:	Address:	City:	Business partner:	Address:	City:

4. Information about actual/ beneficial owners:

4.1. Company is: Owned by a several persons with share less than 20 Owned by a several persons with share more than 20 Please provide data about other owners below				
4.2.0wner 1:			7	
First and last name:	ID Number (JMBG):		Passport No. for non residents:	
Date and place of birth:	Residence address:		Citizenship:	
This person is direct / indirect owner (mark answer with a or	b):	a) Direct	b) Indirect	
Type of ownership (search for explanation at the end of sect	ion 1.1.): A: B:	C: D: E: H	F: Ownership percentage:	%
Is the owner politically or publicly exposed person?	Yes No			
4.3.0wner 2:			_	
First and last name:	ID Number (JMBG):		Passport No. for non residents:	
Date and place of birth:	Residence address:		Citizenship:	
This person is direct / indirect owner (mark answer with a or	b):	a) Direct	b) Indirect	
Type of ownership (search for explanation at the end of sect	ion 1.1.): A: B:	C: D: E: I	F: Ownership percentage:	%
Is the owner politically or publicly exposed person?	Yes No			



	4.4.0wner 3:					
	First and last name:	ID Number (JMBG):		Pass	sport No. for non residents:	
	Date and place of birth:	Residence address:			Citizenship:	
	This person is direct / indirect owner (mark answer with a or	b):	a) Direct		b) Indirect	
	Type of ownership (search for explanation at the end of secti	on 1.1.): A: B: [C: D:	E: F:	Ownership percentage:	%
	Is the owner politically or publicly exposed person?	Yes No				
	4.5.0wner 4: First and last name:	ID Number (JMBG):		Pase	sport No. for non residents:	
	Date and place of birth:	Residence address:			Citizenship:	
	This person is direct / indirect owner (mark answer with a or	b):	a) Direct		b) Indirect	
	Type of ownership (search for explanation at the end of section		C: D:	E: F:	Ownership percentage:	%
	Is the owner politically or publicly exposed person?	Yes No				
	4.6.0wner 5: First and last name:	ID Number (JMBG):		Pas	sport No. for non residents:	
	Date and place of birth:	Residence address:			Citizenship:	
	This person is direct / indirect owner (mark answer with a or		a) Direct		b) Indirect	
	Type of ownership (search for explanation at the end of section of the section of			E: F:	Ownership percentage:	%
	Is the owner politically or publicly exposed person?				ownersnip percentage.	70
	4.7.0wner 6:					
	First and last name:	ID Number (JMBG):		Pas	sport No. for non residents:	
	Date and place of birth:	Residence address:			Citizenship:	
	This person is direct / indirect owner (mark answer with a or		a) Direct		b) Indirect	
	Type of ownership (search for explanation at the end of section is the section of		C: D:	E: F:	Ownership percentage:	%
	Is the owner politically or publicly exposed person?	Yes No				
	anations / Instructions: icial owner of the client (filling the item 3)					
A. be	neficial owner of the client and $/$ or individual on whose beha	If transaction or activity is perform	ed			
3. ind	ficial owner of the company, or other legal entity is: ividual who directly or indirectly holds 20% or more of the busi ticipates in capital of the legal entity with 20% or more of shar				tes in managing the legal entity, or	
C. ind	vidual that indirectly provides funds to legal entity and on that rations				nce bodies concerning funding and	
	ficial owner of a foreign legal entity that receives, manages					
3. ind	ividual who directly or indirectly utilizes more than 20% of asse ividual or group of individuals in whose interest the foreign leg ividual who directly or indirectly manages more than 20% of as	al entity is established or operates,			are identifiable;	
	, , , ,					
	atement – filled by the competent person – Bra					
Ba	sed on the information and delivered documentation, I state tha	this is a client of	category, and that identi	fication and verification o	f the client document has been made.	
Ex	planation:					
_						_
					Signature:	_
						_



TO BE ADDITIONALLY FILLED BY AUTHORIZED PERSON OF THE LEGAL ENTITY

6. Politically or publicly exposed person

6.1. Is the	director(s) / owner (s) politically or publicly exposed person?	
Yes	Explain	No
6.2 Is the a	uthorized person of the company an immediate family member or a person politically/publicly exposed publicly?	
Yes	No	

Explanations/Instructions:

Fulfilling the item 5

Politically and publicly exposed person, foreign and domestic, in terms of this law, means any individual entrusted with or has been entrusted with prominent public function in the previous year, including the closest family members and close associates;

1. Foreign politically exposed person is a person who has or was assigned with significant public function:

- a) head of state, prime minister, ministers, their deputies and assistants;
- b) elected representatives in legislative bodies;
- c) judges of the Supreme and Constitutional Court and other high judicial institutions;
- d) members of the audit and governor committee of the central bank;
- e) ambassadors and high ranking officers of the armed forces;
- f) members of management and supervisory boards and directors of companies in majority state ownership.

2. Domestic politically or publicly exposed person is a natural person who has or was assigned with significant public function:

- a) members of the BiH Presidency, Chairman of the Council of Ministers BiH, ministers and deputy ministers and leading civil servants;
- b) presidents, deputies, heads of government, ministers and their deputies or assistants in the FBiH, RS, BDBiH and Cantons, city mayors or municipality mayors
- c) elected representatives in legislative bodies at the state level, RS, FBiH, BDBIH and Cantons;
- d) members of the parties' presidency
- e) judges of Constitutional Courts of BiH, FBiH and RS, and judges of Supreme Courts of RS and FBiH, a judge of the Appellate Court of BDBIH, judges of the Court of BiH and members of the High Judicial f) and Prosecutorial Council of BiH;
- g) Chief Prosecutor and the Prosecutors from the Office of BiH, Chief Prosecutor and Prosecutors from the Offices of RS, FBiH, BDBIH and Cantons;
- h) members of the Management Board, the Governor and Deputy Governor of the Central Bank;
- i) diplomatic representatives (ambassadors and consuls);
- j) members of the Joint Staff of the Armed Forces;
- k) members of management, supervisory boards and directors of companies in majority ownership of the state, entities or BDBIH

Closest family members of persons referred to in items 1) and 2) are: marital and extramarital partners, parents, siblings, children and their spouses;

Closer associates of entities specified in items 1) and 2) are all persons who participate in the profits from property or a business relationship or are in any way associated with business

Under full material, criminal and moral liability I confirm that information given for opening a transaction account are truthful and that there are no reasons why opening of this account is not in accordance with the legal regulations. With my signature I confirm that I agree with the General Conditions on business dealing with the Bank and that I entirely accept them.

This questionnaire represents a constituent part of the Request for opening an account at Sparkasse Bank dd BiH and it cannot be used for any other purposes but the ones stipulated with the Law. The Bank keeps the right to demand from the client at any moment additional documentation related with the transactions. In case the client does not deliver requested documentation the Bank has right to decline transaction and/or close the accounts. The signatory exclusively states that the funds used for financing the legal entity are not a product of criminal activities i.e. activities prohibited by the Law, including the Law on prevention of money laundering and financing of terrorist activities. The signatory states that the property of the legal entity was never brought in connection with or was used for financing of criminal activities. By signing this questionnaire I give explicit permission to the Bank to undertake all measures related with processing and exchange of data mentioned in this questionnaire, which covers the Bank's right to collect, save, record, organize, make insight and transfer personal data in order to perform regular Bank's activities (and the Group to which it belongs in the country and abroad).

The client is obliged to promptly inform the Bank, in written form, about possible changes of the above mentioned information as well as data related to:

- Losses in amount of ½ of the firm's capital value, decisions on merging, separating, acquiring, disengage, liquidation or bankruptcy
- Material changes in ownership structure of the firm aswell as the changes of individual owners with effective control over the firm
 Changes of the persons for representation of the firm and authorized persons that have access to the finds at the account
- Changes of the persons for representation of the firm an
 Changes in any of requested documents

The applicant legal entity confirms that has no outstanding liabilities of public revenues.

With my signature I certify that the information provided is true, and that the Bank may make check the Central Registry of Accounts in BiH (CRR) and Unique Registry of Accounts in RS (JRR).

Place:	Date:	Stamp:	Applicant Signature:
Place:	Date:		Signature ff the Bank's employee:

